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UNITED STATES PATENT AND TRADEMARK OFFICE
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/767,582	01/22/2001	Tetsuzo Yoshimura	6136/53718 (25916-180)

CONFIRMATION NO. 2745

FORMALITIES LETTER



OC00000007246613

COUDERT BROTHERS
600 Beach Street
San Francisco, CA 94109

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COUDERT BROTHERS LLP
SAN FRANCISCO

Date Mailed: 12/31/2001

NOTICE OF OMITTED ITEM(S) IN A NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

A filing date has been accorded to the above-identified nonprovisional application papers; however, the following item(s) appear to have been omitted from the application:

- Figure(s) 419 described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the USPTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h))) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (*i.e.*, the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

A copy of this notice MUST be returned with the reply.

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/11/2011 11:11:11 AM



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Yoshimura, et al.
Serial No.: 09/767,582
Filed: January 22, 2001
For: SINGLE AND
MULTILAYER
WAVEGUIDES AND
FABRICATION PROCESS
Group Art Unit: 2817
Examiner: Unknown
Attorney Docket: 6136-53718 (25916-180)

CERTIFICATE OF
MAILING/TRANSMISSION
(37 C.F.R. § 1.8(a))

I hereby certify that this correspondence is, on the date shown below is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, U.S. Patent and Trademark Office, Washington, D.C. 20231

March 25, 2002

Mary M. Padilla
Mary M. Padilla

PRELIMINARY AMENDMENT

Commissioner for Patents
U.S. Patent and Trademark Office
Washington, D.C. 20231

Dear Sir:

IN THE DRAWINGS

Please correct Figs. 420 and 421 in accordance with the red ink corrections labeled on the accompanying drawings.

Approval from the Examiner is respectfully requested.

IN THE SPECIFICATION

Please amend page 17, lines 19 through 22 as follows:

FIGS. 414-419 are perspective views for representing the process for fabricating a substrate having three different types of dimensional walls; and